I.	POTENTIAL REFERENCES OF INTEREST	3
Α.		
II.	INVENTOR SEARCH RESULTS FROM DIALOG	4
III.	TEXT SEARCH RESULTS FROM DIALOG	. 10
A.	Full-Text Databases	10
IV.	TEXT SEARCH RESULTS FROM DIALOG	.18
A.	Abstract Databases	18
٧.	ADDITIONAL RESOURCES SEARCHED	. 25

^{*} EIC-Searcher identified "potential references of interest" are selected based on the terms/concepts provided in the examiner's search request.

I. Potential References of Interest

A. Dialog

37/3,K/16 (Item 2 from file: 148) DIALOG(R) File 148: Gale Group Trade & Industry DB

(c) 2009 Gale/Cengage, All rights reserved.

09892566 Supplier Number: 20028648 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Software Artistry Unveils Unprecedented Sales Management Tool Pipeline Management Discovery
Guide Turns Sales Data Into Knowledge.

Business Wire, p12030100 Dec 3, 1997 Language: English

Record Type: Fulltext Word Count: 665 Line Count: 00064

Tevt.

...in a series of sales and marketing Discovery Guides - enables organizations to better understand their current sales forecasts as well as determine sales effectiveness and the accuracy of previous forecasts.

Dialog eLink: Order File History

27/3,K/4 (Item 2 from file: 350)

DIALOG(R)File 350: Derwent WPIX

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0007307836

WPI Acc no: 1995-369537/199548

Functional process control method - involves forecasting result of process from past results and selecting correction that is to be provided for processing

Patent Assignee: NIPPON STEEL CORP (YAWA)

Inventor: MIHIRO K

Patent Family (1 patents, 1 countries)									
Patent Number Kind Date Application Number Kind Date Update Type									
JP 7244503	A	19950919	JP 199435539	A	19940307	199548	В		

Priority Applications (no., kind, date): JP 199435539 A 19940307

Patent Details											
Patent Number	Kind	Lan	Pgs	Draw	Filing	Notes					
JP 7244503	A	JA	4	3							

...involves forecasting result of process from past results and selecting correction that is to be provided for processing Alerting Abstract ...forecasts the result of a process, and then makes a change in timing, to the current set point. The forecasting operation is based on the previous control results. The controller evaluates the previous results and selects the amount of correction that is to be provided for carrying out...

II. Inventor Search Results from Dialog

t s14/3.k/all

Dialog eLink: Order File History 14/3,K/1 (Item 1 from file: 350) DIALOG(R) File 350: Derwent WPIX (c) 2009 Thomson Reuters. All rights reserved.

0012731626 Drawing available WPI Acc no: 2002-583992/200262 Related WPI Acc No: 2000-038662 XRPX Acc No: N2002-463083

Method for displaying information relating to one or more analysts' estimates for selected future event by displaying simultaneously, on analyst by analyst basis, for selected analysts, indication of historical accuracy for that analyst

Patent Assignee: STARMINE CORP (STAR-N)

Inventor: GATTO J G

Patent Family (3 patents, 97 countries) Patent Number Kind Date Application Number Kind Date Update Type WO 2002063429 A2 20020815 WO 2002US3237 20020205 200262 B AU 2002238036 A1 20020819 AU 2002238036 Δ 20020205 200427 E AU 2002238036 A8 20051006 AU 2002238036 20020205 200612 F

Priority Applications (no., kind, date): US 2001775599 A 20010205

	Patent Details											
Patent Number	Kind	Lan	Pgs	Draw	Fili	ng Notes						
WO 2002063429	A2	EN	163	44								
National Designated States, Original	ES FI G	B GD G	E GH G MW MX	M HR HU	ID IL IN IS JP KE KG KP KR IZ OM PH PL PT RO RU SD :	CR CU CZ DE DK DM DZ EC EE KZ LC LK LR LS LT LU LV MA SE SG SI SK SL TJ TM TN TR						
Regional Designated States, Original		CH GY D SZ TR TZ			R GB GH GM GRIEIT KELS	LU MC MW MZ NL OA PT SD						
AU 2002238036	A1	EN			Based on OPI patent	WO 2002063429						
AU 2002238036	A8	EN		1	Based on OPI patent	WO 2002063429						

...future event by displaying simultaneously, on analyst by analyst basis, for selected analysts, indication of historical accuracy for that analyst inventor: GATTO J G Alerting Abstract ...NOVELTY - for selected analysts, and indication of historical accuracy is displayed for an analyst based on selected

Dialog eLink: Order File History 14/3, K/2 (Item 2 from file: 350) DIALOG(R) File 350: Derwent WPIX (c) 2009 Thomson Reuters. All rights reserved.

0009752381 Drawing available WPI Acc no: 2000-038662/200003 Related WPI Acc No: 2002-583992 XRPX Acc No: N2000-029183

Historical security analyst data managing and viewing system

Patent Assignee: GATTO J G (GATT-I): STARMINE CORP (STAR-N); STARMINE LLC (STAR-N)

Inventor: GATTO J G

Priority Applications (no., kind, date): US 199882868 P 19980424; US 1999296620 A 19990423; US 2000524253 A 20000313; US 2000577317 A 20000524; US 2000722050 A 20001127; US 2001775599 A 20010205: US 2001893460 A 20010629; US 2001982838 A 20011022; US 2002118237 A 20020409; US 2002119082 A 20020410

on historical accuracy of their earnings predictions for one or more securities, the system comprising: a

nk: Order File History
14/3K/2 (Item 2 from file: 349)

01361150

SYSTEM AND METHOD FOR ANALYZING ANALYST RECOMMENDATIONS ON A SINGLE STOCK BASIS SYSTEME ET PROCEDE D'ANALYSE DE RECOMMANDATIONS D'ANALYSTES SUR LA BASE D'UN SEUL STOCK

Patent Applicant/ Patent Assignee:

DIALOG(R) File 349: PCT FULLTEXT (c) 2009 WIPO/Thomson. All rights reserved. STARMINE CORPORATION: 49 Stevenson Street, 8th Floor, San Francisco, CA 94105 US: US (Residence): US (Nationality)

(For all designated states except: US)

 GATTO Joseph G: c/o Starmine Corporation, 49 Stevenson Street, 8th Floor, San Francisco. CA 94105

US: US (Residence): US (Nationality)

 MCNEIL Lain; c/o Starmine Corporation, 49 Stevenson Street, 8th Floor, San Francisco, CA 94105 US: US (Residence): GB (Nationality)

JHA Vinesh; c/ o Starmine Corporation, 49 Stevenson Street, 8th Floor, San Francisco, CA

US; US (Residence); US (Nationality)

LICHTBLAU David: c/o Starmine Corporation, 49 Stevenson Street, 8th Floor, San Francisco.

US; US (Residence); US (Nationality)

· GATTO Joseph G...;

Patent Applicant/ Inventor:

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LICHTBLAU David

c/o Starmine Corporation, 49 Stevenson Street, 8th Floor, San Francisco, CA 94105; US; US (Residence); US (Nationality);

GATTO Joseph G...

Legal Representative:

GATTO James G et al(agent)

Pillsbury Winthrop Shaw Pittman LLP, P.O. Box 10500. McLean, VA 22102; US;

	Country	Number	Kind	Date
Patent	WO	200644858	A2-A3	20060427
Application	wo	2005US37372		20051018
Priorities	US	2004965720		20041018

Designated States: (All protection types applied unless otherwise stated - for applications 2004+) AE; AG; AL; AM; AT; AU; AZ; BA; BB; BG;

[EA] AM; AZ; BY; KG; KZ; MD; RU; TJ; TM; Language Publication Language: English Filling Language: English Fulltext word count: 11660

Detailed Description:

...second stock set for the time period, before comparing the scores, may provide a more accurate comparison of the past performance of the first contributor and the second contributor. Normalizing scores of recommendations may also...

Dialog eLink: Order File History

14/3K/3 (Item 3 from file: 349) DIALOG(B) File 349: PCT FULL TEXT

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00930240

SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM AND METHOD

SYSTEME ET PROCEDE DE VISUALISATION DES PERFORMANCES RELATIVES AUX ESTIMATIONS D'ANALYSTES DE TITRES

Patent Applicant/ Patent Assignee:

 STARMINE CORPORATION; Suite 104, 715 Bryant Street, San Francisco, CA 94107 US; US(Residence); US(Nationality)

Legal Representative:

GATTO James G(et al)(agent)
 Mintz Levin Cohn Ferris Glovsky & Popeo, 11911 Freedom Drive, Reston, VA 20190; US;

	Country	Number	Kind	Date
Patent	wo	200263429	A2-A3	20020815
Application	WO	2002US3237		20020205
Priorities	US	2001775599		20010205

Designated States: (All protection types applied unless otherwise stated - for applications 2004+)

IEPI AT: BE: CH: CY: DE: DK: ES: FI: FR: GB:

[EA] AM; AZ; BY; KG; KZ; MD; RU; TJ; TM; Language Publication Language: English Filing Language: English

Fulltext word count: 32048

English Abstract:

The invention provides a method and system (112, 150, 160) for simultaneously displaying historical accuracy indicators for an analyst and the analyst's estimate for a future event. On an analyst by analyst basis, for a selected one or more analysts wherein the historical accuracy indicators and analyst's estimate for a future event may involve one or more securities...

Detailed Description:

...for displaying simultaneously. on an analyst by analyst basis, for selected analysts, an indication of historical accuracy and the analyst's estimate for a future event (e.g., earnings, revenue, target price... ...Sumnigy of the Invention

The present invention provides a method and system for simultaneously displaying historical accuracy inidicators for an analyst and the analyst's estimate for a future event, on an analyst by analyst by sailyst settimate for a future event, on an analyst by sailyst settimate for a future event may involve one or more securities...particular analyst, a brokerage firm, a user selected set of securities or other defined grouping. Historical accuracy indicators may include performance ratings based on various performance metrics. Historical accuracy indicators may also include relative accuracy sonds where an analyst's accuracy rating may be compared to that of other analysts. Various display options may be available. For example, displaying historical accuracy indicators for an analyst and the analyst's estimate for a future event, on an.....that have current estimates for a user selected security. Other viewing options may involve displaying historical accuracy indictors'and estimate data for a group of analyst (such -as the top analysts,groups of analysts.....groups of analysts.....groups of analysts....

The present invention further provides a method and system for simultaneously displaying historical accuracy indicators, current estimate data and model information, on an analyst by analyst basis, for selected.....for displaying simultaneously, on an analyst by analyst basis, for selected analysts, an indication of historical accuracy and the analyst's estimate for a future event; for displaying simultaneously an indication of, group of such revisions may constitute a cluster. Such revised estimates tend to be more accurate than estimates made before the clusters. Cluster information displayed in Cluster area 1150 may include a begin date, detect...an N-score to each group. A user may divide broker lists by size, reputation, historical accuracy, affiliation with a stock (e.g., market maker, etc.), or other criteria. FIG. 16 illustrates...be provided with a full listing of performance inetrics for analysis of the model's accuracy.

In...and predict a company's earnings. Enhanced composite estimates may factor in the timeliness and historical accuracy of each analyst following a stock to predict company earnings. Other characteristics may also be.....Enhanced composite estimates may be significantly more accurate because these calculations take into account the historical accuracy of analyst, the age of the estimates, the experience of the analyst, the issuing brokerage...factors that contribute to better earnings forecasts.

Factors may include one or more of the historical accuracy of each analyst, the age of the estimate, timeliness, the experience of the analyst, the...

Claims:

...step ofdisplaying simultaneously, on an analyst by analyst basis, for selected analysts, an indication of historical accuracy for that analyst based on selected criteria and the analyst's estimate for a future.....for displaying simultaneously, on an analyst by analyst basis, for selected analysts, an indication of historical accuracy for an analyst for one or more securities and the analyst's estimate of a.....include at least financial predictions.

8 The method of claim I wherein the indication of historical accuracy for that analyst is for ies and the analyst's estimate for a future event... ...user selected sets of securities.

15 The method of claim I wherein the indication of **historical accuracy** comprises a performance rating.

109. The method of claim I wherein the indication of **historical accuracy** comprises a performance rating

based on one or more performance metrics.

17 The method of claim 1 wherein the indication of **historical accuracy** comprises a performance rating based on one or more performance metrics, and the rating comprises.....claim 17 wherein N=5.

- 19 The method of claim 1 wherein the indication of **historical accuracy** comprises a relative accuracy score, comparing an analyst's accuracy relative to the accuracy of other analysts.
- 20 The method of claim I wherein the indication of **historical accuracy** comprises an **accuracy** measurement based on a performance metric aggregated over a user selected number of quarters or... ...current
- 110estimate for that security, on an analyst by analyst basis, an indication of **historical accuracy** for that analyst and the analyst's estimate of a future event for that security......a current estimate for that security, on an analyst by analyst basis, an indication of **historical accuracy** for that analyst and the analyst's estimate of future events for that security.

25.....record of estimates for that security, on an analyst by analyst basis, an indication of historical accuracy for that analyst and the analyst's estimate of a future event for that security... simultaneously displaying, on an analyst by analyst basis, for selected analysts, an indication of historical accuracy for that analyst for one or more securities and the analysts estimate of a future... of simultaneously displaying, on an analyst by analyst basis, for selected analysts. an indication of historical accuracy for that analyst for one or more securities and the analysts recommendation of a future......step of lisplaying simultaneously, on an analyst by analyst basis, for selected analysts: an indication of historical accuracy for that analyst for one or more securities: currentestimate of a future event associated vivite.

Dialog eLink: Order File History
14/3K/4 (Item 4 from file: 349)
DIALOG(R)File 349: PCT FULLTEXT
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00524840

SECURITY ANALYST PERFORMANCE TRACKING AND ANALYSIS SYSTEM AND METHOD

SYSTEME ET PROCEDE DE SUIVI ET D'ANALYSE DES PERFORMANCES D'UN ANALYSTE EN VALEURS MOBILIERES

Patent Applicant/ Patent Assignee:

STARMINE L L C:

	Country	Number	Kind	Date
Patent	wo	9956192	A2	19991104
Application	wo	99US8909		19990423
Priorities	US	9882868		19980424

Designated States: (All protection types applied unless otherwise stated - for applications 2004+)

Language Publication Language: English

Filing Language:

Fulltext word count: 13268

Detailed Description:

...is based upon predetermined criteria.

Another object of the invention is to objectively measure the historical

accuracy of the estimates made by one or more Contributors (analysts and/or brokers) Another object of the invention is to provide a tool to measure historical accuracy of predictions with the flexibility for the user to specify one or more of the...displaying either the raw data or visual display of a number of representations of the historical accuracy of the

earnin's estimates of either a single or a plurality of analysts;

9...based upon the relative recency of the issuance of analyst's earnings revision or the **historical** consistency and/or accuracy of an analy.st'S adjusted estimates (as compared to actual earnings), or a combination, embodiment of the invention, a user will be able to rank, measure, and analyze the **historical**

combination...embodiment of the invention, a user will be able to rank, measure, and analyze the historic accuracy of a single or plurality of analysts' buy-sell recommendations in various ways. As an...

Claims:

...for creating a model

comprises means for modifying analysts' current estimate based on the analysts historical accuracy.

- 21 The system of claim 19 wherein:
- (i) the means for creating a model comprises...

III. Text Search Results from Dialog

A. Full-Text Databases

show files

```
File 15:ABT/Inform(R) 1971-2009/Jul 04
         (c) 2009 ProQuest Info&Learning
Pile
     9:Business & Industry(R) Jul/1994-2009/Jul 04
         (c) 2009 Gale/Cengage
File 610:Business Wire 1999-2009/Jul 06
         (c) 2009 Business Wire
File 810: Business Wire 1986-1999/Feb 28
         (c) 1999 Business Wire
File 275:Gale Group Computer DB(TM) 1983-2009/Jun 05
         (c) 2009 Gale/Cengage
File 624:McGraw-Hill Publications 1985-2009/Jul 06
         (c) 2009 McGraw-Hill Co. Inc
File 621: Gale Group New Prod. Annou. (R) 1985-2009/May 28
         (c) 2009 Gale/Cengage
File 636:Gale Group Newsletter DB(TM) 1987-2009/Jun 11
         (c) 2009 Gale/Cengage
File 613:PR Newswire 1999-2009/Jul 06
         (c) 2009 PR Newswire Association Inc
File 813:PR Newswire 1987-1999/Apr 30
         (c) 1999 PR Newswire Association Inc
File 16: Gale Group PROMT(R) 1990-2009/Jun 11
         (c) 2009 Gale/Cengage
File 160: Gale Group PROMT(R) 1972-1989
         (c) 1999 The Gale Group
File 634:San Jose Mercury Jun 1985-2009/Jul 03
         (c) 2009 San Jose Mercury News
File 148: Gale Group Trade & Industry DB 1976-2009/Jun 18
         (c) 2009 Gale/Cengage
File 20:Dialog Global Reporter 1997-2009/Jul 06
         (c) 2009 Dialog
```

- File 348: EUROPEAN PATENTS 1978-200927
- (c) 2009 European Patent Office
- File 349:PCT FULLTEXT 1979-2009/UB=20090702|UT=20090625
- (c) 2009 WIPO/Thomson
- File 149:TGG Health&Wellness DB(SM) 1976-2009/May W5
- (c) 2009 Gale/Cengage
- File 444:New England Journal of Med. 1985-2009/Jun W4
- (c) 2009 Mass. Med. Soc.
- File 129:PHIND(Archival) 1980-2009/May W5
- (c) 2009 Informa UK Ltd File 130:PHIND(Daily & Current) 2009/Jul 06
- (c) 2009 Informa UK Ltd
- File 455:Drug News & Perspectives 1992-2005/Aug
- (c) 2005 Prous Science
- File 625:American Banker Publications 1981-2008/Jun 26 (c) 2008 American Banker
- File 637: Journal of Commerce 1986-2009/Jul 30 (c) 2009 UBM Global Trade
- File 635:Business Dateline(R) 1985-2009/Jul 06
- (c) 2009 ProQuest Info&Learning
- File 570:Gale Group MARS(R) 1984-2009/Jun 11 (c) 2009 Gale/Cengage
- File 47:Gale Group Magazine DB(TM) 1959-2009/Jun 23
- (c) 2009 Gale/Cengage File 268:Banking Info Source 1981-2009/Jun W4
- (c) 2009 ProOuest Info&Learning
- File 626:Bond Buyer Full Text 1981-2008/Jul 07 (c) 2008 Bond Buyer
- File 267:Finance & Banking Newsletters 2008/Sep 29
 (c) 2008 Dialog
- File 608:MCT Information Svc. 1992-2009/Jul 06
- (c) 2009 MCT Information Svc.

? ds

- Set Items Description
- 682157 (HISTORICAL OR PAST OR PRIOR? OR BEFORE? OR EARL??? OR PRE-VIOUS?? OR OLD OR PRECEED??? OR PONMER? (SIN) (CORRECT? OR RIGH-T? OR ACCURA?? OR ERRORLESS OR (ERROR? ? OR MISTAKE? ?) (2N) FR-EE)
- 33812 31(7N) (DETERMIN??? OR ASSESSING OR DECID??? OR ASCERTAIN??? OR ESTABLISH??? OR DISTINGUISH??? OR SELECT??? OR CHOOS??? OR PICK???)
- 33 3045449 (HICTORICAL OR PAST OR PRIOR? OR BEFORE? OR BEAL??? OR PRE-VIOUS?? OR OLD OR PRECEED??? OR FORMER?) (3N) (COMPAR? OR CONTR-AST? OR ANALY? OR EVALUAT? OR ASCENTATH? OR CONFIRM? OR MONIT-OR? OR VERIE? OR FORECAST? OR PREDICT? OR ANTICIPAT? OR ESTIM-AT? OR ASSESS?)
- 84 82321 83(3N) (ANALYST OR AUDITOR? ? OR INSPECTOR? ? OR RESEARCHER? ? OR REVIEWER? ?)
- S5 2635951 (MULTIPLE OR MANY OR SEVERAL OR PLURAL??? OR VARIOUS OR MU-LII) (3N) (SERVICE? ? OR OPERATION? ? OR ACTIVITIES OR TASK? ? OR EVENT? ? OR TRANSACTION? ?)
- 56 163700 (SELECT? ? OR CHOOS? OR PICKY?? OR SPECIFY??? OR SPECIFY??
 7 OR DESIGNAT??? OR INDICAT??? OR SIPULAT??? OR PICKY??) (SIH) (PROTECTION OR SECURITY OR SECURE? ? OR RESTRICTED OR CONTROLLED OR PROTECT?)
- 87 10551 S6(3N) (MEMBER? ? OR USER? ? OR PURCHASER? ? OR PARTICIPANT? ? OR CLIENT? ? OR PATRON? ? OR CONSUMER? ? OR CUSTOMER? ? OR SUBSCRIBER? ?)
- 88 775481 (GUI OR INTERFACE OR GRAPHICAL()USER()INTERFACE) (3N) (MEMBE-R? ? OR USER? ? OR PURCHASER? ? OR PARTICIPANT? ? OR CLIENT? ? OR PATRON? ? OR CONSUMER? ? OR CUSTOMER? ? OR SUBSCRIBER? ?)

```
S9
     9816464 (DISPLAY??? OR SHOW??? OR APPEAR??? OR SEEING OR VIEW???) (-
             3H) S (CURRENT OR SPONTANEOUS?? OR INSTANTANEOUS?? OR (INCUR?-
             ?? OR OCCU?)()IMMEDIATE?? OR ON()GOING OR REALTIME OR REAL()T-
             IME OR CONCURRENT? OR SIMULTANEOUSLY OR SAME()TIME OR ALL()TO-
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- S1(3N)(SPECIFY??? OR SPECIFIE? ? OR DESIGNAT??? OR INDICAT-2375 ??? OR STIPULAT???)
- (CURRENT OR PRESENT OR CONTINUING OR ORIGINAL OR PREVAIL ??-1068260 ?) (3N) (FORECAST? OR PREDICT? OR ANTICIPAT? OR ESTIMAT? OR ASS-ESS? OR APPRAISAL OR MEASUR? OR VALUATION)
- 1355080 (HISTORICAL OR PAST OR PRIOR? OR BEFORE? OR EARL??? OR PRE-VIOUS?? OR OLD OR PRECEED??? OR FORMER?) (3N) (FORECAST? OR PRE-DICT? OR ANTICIPAT? OR ESTIMAT? OR ASSESS? OR APPRAISAL OR ME-ASUR? OR VALUATION)
- S13 214 AU=(GATTO, J? OR GATTO J? OR GATTO(2N)J?) S14 S13 AND S1
- S15 521 S2(7N)S3
- S16 9 S15(7N)S4
- 1 S15(3N)S5 S17
- S18 1 S15(7N)S6
- S19 1 S15(7N)S7
- S20 6 S2(3N)S8
- S21 42 S2(3N)S9
 - 0 S21 (7N) S10
- 345 S2(3N)S12 \$23 8 S23 (3N) S11 S24
- S25 6045 S8(3N)S9
- 326 0 S25 (3N) S2
- 527 23 S16 OR S17 OR S18 OR S19 OR S20 OR S24
- S28 4 S27 NOT PY>1998
- S29 9 S21 NOT PY>1998

t/ 3 k/ all

28/3,K/1 (Item 1 from file: 810) DIALOG(R) File 810: Business Wire

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0780815 BW0100

SOFTWARE ARTISTRY: Software Artistry Unveils Unprecedented Sales Management Tool Pipeline Management Discovery Guide Turns Sales Data Into Knowledge

December 03, 1997

Byline: Business Editors, Computer & Electronics Writers

...in a series of

sales and marketing Discovery Guides - enables organizations to

better understand their current sales forecasts as well as determine

sales effectiveness and the accuracy of previous

forecasts.

"Until now, organizations could only gather and organize sales data," said Dave Ryan, vice president...

28/3,K/2 (Item 1 from file: 148) DIALOG(R) File 148; Gale Group Trade & Industry DB (c) 2009 Gale/Cengage. All rights reserved.

09892566 Supplier Number: 20028648 (USE FORMAT 7 OR 9 FOR FULL TEXT) Software Artistry Univeils Unprecedented Sales Management Tool Pipeline Management Discovery Guide Turns Sales Data Into Knowledge.

Business Wire, p12030100 Dec 3, 1997 Language: English Record Type: Fulltext

Word Count: 665 Line Count: 00064

...in a series of sales and marketing Discovery Guides - enables organizations to better understand their current sales forecasts as well as determine sales effectiveness and the

accuracy of previous forecasts.

? t/ 3.k/ all

37/3,K/1 (Item 1 from file: 15) DIALOG(R) File 15: ABI/Inform(R) (c) 2009 ProQuest Info&Learning, All rights reserved.

00911851 95-61243

How to comply with landfill monitoring regs

Horsey, Henry; Kraft, Richard; Cozad, Daniel World Wastes v37n9 pp: 24-35 Sep 1994

ISSN: 0745-6921 Journal Code: WWA

Word Count: 2804

... site. In many cases, facilities should use historical data from downgradient compliance wells as background.

Selecting indicator monitoring parameters that will provide an early, accurate indication of a release from the facility is equally challenging. For example, where highly variable zones

37/3,K/2 (Item 2 from file: 15) DIALOG(R) File 15: ABI/Inform(R)

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00905099 95-54491 Selecting financial indices to measure critical path outcomes

Shikiar, Mindy Sloane; Warner, Patricia Nursing Management v25n9 pp: 58-60 Sep 1994 ISSN: 0744-6314 Journal Code: NSM Word Count: 1859

...a baseline financial picture to evaluate that patient population. Outcomes are monitored based on these selected key financial indicators. Before obtaining an accurate baseline, we

needed a clearer understanding of each selected case type: Diagnosis

```
37/3,K/3 (Item 3 from file: 15)
DIALOG(R) File 15: ABI/Inform(R)
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```

94-50256 00800864

RAD requires radical rethink

Glen Bon

I.T. Magazine v25n11 pp: 36

Nov 1993

ISSN: 0008-3364 Journal Code: CAD

Word Count: 618

...a certain period. Mr. Payette called this time boxing.

Users critique models the developers build indicating what's right, wrong and missing. Priorities are established and ranked. Developers have a fixed amount of time to make the changes starting with ...

37/3,K/4 (Item 4 from file: 15)

DIALOG(R) File 15: ABI/Inform(R)

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00611183 92-26286

Cummins Picks High-Volume Parts Faster than Ever

Forger, Gary

Modern Materials Handling v47n5 pp: 36-37

Apr 1992

ISSN: 0026-8038 Journal Code: MMH

Abstract:

...picker selects items from a storage location, another worker scans the bar code on a previous pick to confirm accuracy before placement in the designated order carton. Beyond the internal productivity gains, Cummins' nearly 500 customers now enjoy faster delivery ...

37/3,K/5 (Item 5 from file: 15)

DIALOG(R) File 15: ABI/Inform(R)

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00587301 92-02474

Evaluating the Need for Needleless I.V. Systems

Halpern, Melanie

Hospital Materials Management v16n12 pp; 2

Dec 1991

Journal Code: HMA

Word Count: 555

Abstract:

...minimize the expected cost increases related to alternative resheathing devices. The approach involves: 1. obtaining accurate historical data about needle stick occurrences, 2. assessing needle usage, 3. assessing the current procedure for handling sharps, and 2. analyzing available needleless devices in light of the hospital...

37/3,K/6 (Item 6 from file: 15)

DIALOG(R) File 15: ABI/Inform(R)

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00384720 88-01553 Finding the Right System

Gillis, M. Arthur

ABA Banking Journal v79n12 pp: 41-44 Dec 1987

ISSN: 0194-5947 Journal Code: BNK

Abstract:

...just as many services as money center banks. However, it is important to have the **right** system. **Before** a new system can be **selected**, the **present** system must be **assessed** to determine if change is warranted. This involves examining the bank's relationship with its.

37/3,K/7 (Item 7 from file: 15)

DIALOG(R) File 15: ABI/Inform(R)

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00155613 81-25490

Why the Doyen of Futurologists Is Concentrating on the Here and Now

Hill. Boy

International Management v36n10 pp: 50-51 Oct 1981

ISSN: 0020-7888 Journal Code: IMG

Abstract:

...the Institute's work is confidential, it studies countries or areas of the world to determine investment feasibility. The Institute's past predictions have correctly indicated a revolution from the political right in Iran and the growth potential of Japan, evidencing...

37/3,K/8 (Item 1 from file: 810)

DIALOG(R) File 810: Business Wire

(c) 1999 Business Wire . All rights reserved.

0899699 BW1242

ENIGMA: Enigma Announces INSIGHT 4.1 Electronic Publishing Software; Only Solution that Dynamically Modifies. Adds and Deletes CD-ROM and Customer-based Intranet Content

August 27, 1998

```
Byline: Business/Technolgy Editors
...can view the information
simultaneously. Time limitations allow publishers to make information
viewable for a specified period of time before usage
rights expire.
Content limitations determine
access rights by the type of information
being viewed or by customized specifications.
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37/3,K/9 (Item 2 from file: 810) DIALOG(R)File 810: Business Wire

In addition...

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0780815 BW0100

SOFTWARE ARTISTRY: Software Artistry Unveils Unprecedented Sales Management Tool Pipeline Management Discovery Guide Turns Sales Data Into Knowledge

December 03, 1997

Byline: Business Editors, Computer & Electronics Writers

...in a series of sales and marketing Discovery Guides - enables organizations to better understand their current sales forecasts as well as determine

sales effectiveness and the accuracy of previous forecasts.
"Until now, organizations could only gather and organize sales data." said Dave Ryan, vice...

37/3,K/10 (Item 1 from file: 624) DIALOG(R)File 624: McGraw-Hill Publications (c) 2009 McGraw-Hill Co. Inc. All rights reserved.

0097219
EXCHANGES WILL HAVE INTERMARKET HOOT 'N HOLLER IN PLACE BY DECEMBER
Securities Week, Pg 4
October 24, 1988
JOURNAL CODE: SW
ISSN: 0149-3582
WORD COUNT: 483

TEXT:

...indices. The system would indicate irregularities and potential problems and would also compute the opening indication and determine the correct index setting, prior to the respening of a stock. The conference members are putting the final touches on...

37/3.K/11 (Item 1 from file: 621) DIALOG(R)File 621: Gale Group New Prod.Annou.(R) (c) 2009 Gale/Cengage. All rights reserved.

01699487 Supplier Number: 50269842 (USE FORMAT 7 FOR FULLTEXT) Enigma Announces INSIGHT 4.1 Electronic Publishing Software: Only Solution that Dynamically Modifies, Adds and Deletes CD-ROM and Customer-based Intranet Content.

Business Wire, p 8271242

August 27, 1998

Language: English Record Type: Fulltext

Article Type: Article

Document Type: Newswire: Trade

Word Count: 674

...can view the information simultaneously. Time limitations allow publishers to make information viewable for a specified period of time before usage rights expire. Content limitations

determine access rights by the type of information being viewed or

by customized specifications.

In addition...

37/3,K/14 (Item 1 from file: 16)

DIALOG(R) File 16: Gale Group PROMT(R)

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05781221 Supplier Number: 50269842 (USE FORMAT 7 FOR FULLTEXT)

Enigma Announces INSIGHT 4.1 Electronic Publishing Software; Only Solution that Dynamically Modifies, Adds and Deletes CD-ROM and Customer-based Intranet Content.

Business Wire, p 8271242

August 27, 1998 Language: English Record Type: Fulltext

Article Type: Article

Document Type: Newswire; Trade

Word Count: 674

...can view the information simultaneously. Time limitations allow publishers to make information viewable for a specified period of time before usage rights expire. Content limitations determine access rights by the type of information being viewed or

by customized specifications.

In addition...

37/3.K/15 (Item 1 from file: 148)

DIALOG(R) File 148: Gale Group Trade & Industry DB

(c) 2009 Gale/Cengage, All rights reserved.

10426215 Supplier Number: 21067984 (USE FORMAT 7 OR 9 FOR FULL TEXT.) Enigma Announces I NSI GHT 4.1 Electronic Publishing Software: Only Solution that Dynamically Modifies, Adds and Deletes CD-ROM and Customer-based Intranet Content.

Business Wire, p8271242 August 27, 1998 Language: English Record Type: Fulltext

Word Count: 736 Line Count: 00070

...can view the information simultaneously. Time limitations allow

publishers to make information viewable for a specified period of time before usage rights expire. Content limitations determine access rights by the type of information being viewed or by customized specifications. In addition..

37/3.K/16 (Item 2 from file: 148)
DIALOG(R) File 148: Gale Group Trade & Industry DB
(c) 2009 Gale/Cengage. All rights reserved.

09892566 Supplier Number: 20028648 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Software Artistry Unveils Unprecedented Sales Management Tool Pipeline Management Discovery
Guide Turns Sales Data Into Knowledge.

Business Wire , p12030100 Dec 3 , 1997 Language: English Record Type: Fulltext

Word Count: 665 Line Count: 00064

Text.

...in a series of sales and marketing Discovery Guides - enables organizations to better understand their current sales forecasts as well as determine sales effectiveness and the accuracy of previous forecasts.

IV. Text Search Results from Dialog

A. Abstract Databases

2 show files

File 35:Dissertation Abs Online 1861-2009/Jun (c) 2009 ProQuest Info&Learning File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13 (c) 2002 Gale/Cengage File 65:Inside Conferences 1993-2009/Jul 06 (c) 2009 BLDSC all rts. reserv. 2:INSPEC 1898-2009/Jun W4 (c) 2009 The IET File 474: New York Times Abs 1969-2009/Jul 07 (c) 2009 The New York Times File 475: Wall Street Journal Abs 1973-2009/Jul 07 (c) 2009 The New York Times File 99: Wilson Appl. Sci & Tech Abc 1983-2009/Jun (c) 2009 The HW Wilson Co. File 256:TecTrends 1982-2009/Jun (c) 2009 Info. Sources Inc. All rights reserved File 347: JAPIO Dec 1976-2009/Jan(Updated 090503) (c) 2009 JPO & JAPIO File 350:Derwent WPIX 1963-2009/UD=200942 (c) 2009 Thomson Reuters 5:Biosis Previews(R) 1926-2009/Jun W4

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(c) 2009 The Thomson Corporation
     73:EMBASE 1974-2009/Jul 03
         (c) 2009 Elsevier B.V.
File 155:MEDLINE(R) 1950-2009/Jul 03
         (c) format only 2009 Dialog
     34:SciSearch(R) Cited Ref Sci 1990-2009/Jun W4
         (c) 2009 The Thomson Corp
File 434:SciSearch(R) Cited Ref Sci 1974-1989/Dec
         (c) 2006 The Thomson Corp
     74:Int.Pharm.Abs 1970-2009/Mar B2
         (c) 2009 The Thomson Corporation
     42:Pharm, News Index 1974-2009/Jun W1
         (c) 2009 ProQuest Info@Learning
File 169: Insurance Periodicals 1984-1999/Nov 15
         (c) 1999 NILS Publishing Co.
File
       6:NTIS 1964-2009/Jul W3
         (c) 2009 NTIS, Intl Covroht All Rights Res
File
      63:Transport Res(TRIS) 1970-2009/Jun
         (c) fmt only 2009 Dialog
       8:Ei Compendex(R) 1884-2009/Jun W4
File
         (c) 2009 Elsevier Eng. Info. Inc.
File
       7:Social SciSearch(R) 1972-2009/Jun W4
         (c) 2009 The Thomson Corp
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(c) 2009 American Economic Association

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File 139:EconLit 1969-2009/Jun

Set	Items	Description			
SI	134710	(HISTORICAL	OR	PAST OR PRIOR? OR BEFORE? OR EARL??? OR PRE-	
	V	IOUS?? OR OLD	OR	PRECEED ??? OR FORMER?) (3N) (CORRECT? OR RIGH-	
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- 5166 S1(7N)(DETERMIN??? OR ASSESSING OR DECID??? OR ASCERTAIN??? OR ESTABLISH??? OR DISTINGUISH??? OR SELECT??? OR CHOOS??? OR PICK???)
- 1990498 (HISTORICAL OR PAST OR PRIOR? OR BEFORE? OR EARL??? OR PRE-VIOUS?? OR OLD OR PRECEED??? OR FORMER?) (3N) (COMPAR? OR CONTR-AST? OR ANALY? OR EVALUAT? OR ASCERTAIN? OR CONFIRM? OR MONIT-OR? OR VERIF? OR FORECAST? OR PREDICT? OR ANTICIPAT? OR ESTIM-AT? OR ASSESS?)
- S3(3N)(ANALYST OR AUDITOR? ? OR INSPECTOR? ? OR RESEARCHER? ? OR REVIEWER? ?)
- (MULTIPLE OR MANY OR SEVERAL OR PLURAL??? OR VARIOUS OR MU-LTI)(3N) (SERVICE? ? OR OPERATION? ? OR ACTIVITIES OR TASK? ? OR EVENT? ? OR TRANSACTION? ?)
- 86 69321 (SELECT? ? OR CHOOS? OR PICK??? OR SPECIFY??? OR SPECIFIE? ? OR DESIGNAT??? OR INDICAT??? OR STIPULAT??? OR PICK???) (3N-) (PROTECTION OR SECURITY OR SECURE? ? OR RESTRICTED OR CONTRO-LLED OR PROTECT?)
- 1568 S6(3N)(MEMBER? ? OR USER? ? OR PURCHASER? ? OR PARTICIPANT? ? OR CLIENT? ? OR PATRON? ? OR CONSUMER? ? OR CUSTOMER? ? OR SUBSCRIBER? ?)
- 58 195316 (GUI OR INTERFACE OR GRAPHICAL() USER() INTERFACE) (3N) (MEMBE-R? ? OR USER? ? OR PURCHASER? ? OR PARTICIPANT? ? OR CLIENT? ? OR PATRON? ? OR CONSUMER? ? OR CUSTOMER? ? OR SUBSCRIBER? ?)
- 5013670 (DISPLAY??? OR SHOW??? OR APPEAR??? OR SEEING OR VIEW???) (-SN) S (CURRENT OR SPONTANEOUS?? OR INSTANTANEOUS?? OR (INCUR?-?? OR OCCU?) () IMMEDIATE?? OR ON() GOING OR REALTIME OR REAL() T-IME OR CONCURRENT? OR SIMULTANEOUSLY OR SAME()TIME OR ALL()TO-
- 1136 S1(3N)(SPECIFY??? OR SPECIFIE? ? OR DESIGNAT??? OR INDICAT-??? OR STIPULAT???)

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S11
     516850 (CURRENT OR PRESENT OR CONTINUING OR ORIGINAL OR PREVAIL??-
             ?) (3N) (FORECAST? OR PREDICT? OR ANTICIPAT? OR ESTIMAT? OR ASS-
             ESS? OR APPRAISAL OR MEASUR? OR VALUATION)
S12 832184 (HISTORICAL OR PAST OR PRIOR? OR BEFORE? OR EARL??? OR PRE-
             VIOUS?? OR OLD OR PRECEED??? OR FORMER?) (3N) (FORECAST? OR PRE-
             DICT? OR ANTICIPAT? OR ESTIMAT? OR ASSESS? OR APPRAISAL OR ME-
             ASUR? OR VALUATION)
         239 AU=(GATTO, J? OR GATTO J? OR GATTO(2N)J?)
         2 S13 AND S1
757 S2 AND S3
314
$15
               S15 AND S4
S16
          11
S17
                S15 AND S5
           2 S15 AND S6
S18
S1.9
           2 S15 AND S7
2 S15 AND S8
S20
       72 S15 AND S9
2 S21 AND S10
36 S15 AND S11
338 S15 AND S12
S21
S22
S23
S24
        2 S24 AND S6
49 S16 OR S17 OR S18 OR S19 OR S20 OR S22 OR S23 OR S25
4 S26 NOT PY>1998
S25
S26
S27
      2332 S2 NOT PY>1998
295 S28 AND S3
0 S29 AND S4
0 S29 AND S5
S28
529
S30
S31
S32
           4 S29 AND S11
           3 S29 AND S10
533
534
          24 S29 AND S9
          0 S29 AND S8
S35
          0 S29 AND S7
S36
$37 31 $32 OR $33 OR $34
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? t/3,k/all

27/3,K/1 (Item 1 from file: 35)

DIALOG(R)File 35: Dissertation Abs Online

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929966 ORDER NO: AAD86-20839

THE DELPHI METHOD OF FORECASTING TO NURSING EDUCATION PLANNING IN WEST VIRGINIA: AN ASSESSMENT AND REPLICATION STUDY (VALIDATION, FUTURISTICS, SURVEY, CURRICULUM PLANNING)

Author: POLING MITCHELL, MARSHA FAYE

Degree: ED.D.

Year: 1986

Corporate Source/Institution: WEST VIRGINIA UNIVERSITY (0256)

Source: Volume 4706B of Dissertations Abstracts International.

PAGE 2376 . 205 PAGES

...a viable method for forecasting future events in nursing education in West Virginia by (1) determining the degree of accuracy of previously predicted events and identifying the circumstances that delayed and/or accelerated occurrence of the predicted events.....programs in West Virginia. Data

were collected by three rounds of questionnaires which validated the **original predictions**, substantiated events which had and/or had not yet occurred, and generated new events which...

Dialog eLink: Order File History

27/3,K/2 (Item 1 from file: 347)

DIALOG(R)File 347: JAPIO

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02596105 **Image available**

GUIDING METHOD FOR MOBILE OBJECT

Pub. No.: 63-213005 [JP 63213005 A] **Published:** September 05, 1988 (19880905)

Inventor: NISHITANI TAKUJI

TOMITA YASUHIRO

Applicant: HITACHI LTD [000510] (A Japanese Company or Corporation), JP (Japan)

HITACHI MICRO COMPUT ENG LTD [470864] (A Japanese Company or Corporation), JP (Japan)

Application No.: 62-045314 [JP 8745314]

Filed: March 02, 1987 (19870302)

Journal: Section: P, Section No. 809, Vol. 13, No. 4, Pg. 92, January 09, 1989 (19890109)

ABSTRACT

...and a map and other distance information obtained actually from a distance measuring means to estimate the accurate present position and replacing the map.....the position/ direction data on a mobile object obtained from a position sensor and a priori map. This estimated distance data and the distance data actually obtained from the distance measuring sensor are supplied.....level matching part 14. Thus the accurate position and direction of the mobile object are decided. Then finally a priori map is corrected by a map replacement part 16 based on the result of said correspondence and the... Di01

Dialog eLink: Order File History

27/3.K/3 (Item 1 from file: 350)

DIALOG(R)File 350: Derwent WPIX

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0007329264

WPI Acc no: 1995-392540/199550

XRAM Acc no: C1995-169057

XRPX Acc No: N1995-286234

Correcting sample analysis signal in distillation column compsn. control - by analysing sample using gas chromatograph which periodically samples and analyses fluid in distillation column, etc.

Patent Assignee: PHILLIPS PETROLEUM CO (PHIP)

Inventor: BEAUFORD M H

Patent Family (1 patents, 1 countries)									
Patent Number	Kind	Date	Application Number	Kind	Date	Update Type			
US 5464504	A	19951107	US 1993160312	A	19931202	199550 B			

Priority Applications (no., kind, date): US 1993160312 A 19931202

Patent Details									
Patent Number	Kind	Lan	Pgs	Draw	Filing Notes				
US 5464504	A	EN	8	3					

Documentation Abstract ...between samples analysed is in the range of 3 to 30 mins. The correction signal determined in step (d) is filtered prior to its addition to the sample analysis signal (36... Documentation Abstract Image Original Publication Data by Authority Argentina Publication No....Claims:in said distillation column wherein said change in temperature in determined from a current and a delayed temperature measurement of the liquid of said selected tray; (c) determining a correction

Dialog eLink: Order File History 27/3,K/4 (Item 2 from file: 350) DIALOG(R)File 350: Derwent WPIX (c) 2009 Thomson Reuters. All rights reserved.

0007307836

WPI Acc no: 1995-369537/199548

Functional process control method - involves forecasting result of process from past results and selecting correction that is to be provided for processing

Patent Assignee: NIPPON STEEL CORP (YAWA)

Inventor: MIHIRO K

signal for said sample...

Patent Family (1 patents, 1 countries)									
Patent Number Kind Date Application Number Kind Date Update Type									
JP 7244503	A	19950919	JP 199435539	A	19940307	199548 B			

Priority Applications (no., kind, date): JP 199435539 A 19940307

	Pat	ent D	etail	S	
Patent Number	Kind	Lan	Pgs	Draw	Filing Notes
JP 7244503	A	JA	4	3	

...involves forecasting result of process from past results and selecting correction that is to be provided for processing Alerting Abstract ...forecasts the result of a process, and then makes a change in timing,

to the current set point. The forecasting operation is based on the previous control results. The controller evaluates the previous results and selects the amount of correction that is to be provided for carrying out...

37/3.K/2 (Item 2 from file: 35)

DIALOG(B) File 35: Dissertation Abs Online

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929966 ORDER NO: AAD86-20839

THE DELPHI METHOD OF FORECASTING TO NURSING EDUCATION PLANNING IN WEST VIRGINIA: AN ASSESSMENT AND REPLICATION STUDY (VALIDATION, FUTURISTICS, SURVEY, CURRICULUM PLANNING)

Author: POLING MITCHELL, MARSHA FAYE

Degree: ED.D.

Year: 1986

Corporate Source/Institution: WEST VIRGINIA UNIVERSITY (0256)

Source: Volume 4706B of Dissertations Abstracts International.

PAGE 2376 . 205 PAGES

...a viable method for forecasting future events in nursing education in West Virginia by (1) determining the degree of accuracy of previously predicted events and identifying the circumstances that delayed and/or accelerated occurrence of the predicted events.....programs in West Virginia. Data were collected by three rounds of questionnaires which validated the original predictions, substantiated events which had and/or had not yet occurred, and generated new events which...

37/3,K/3 (Item 3 from file: 35)

DIALOG(R) File 35: Dissertation Abs Online

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737075 ORDER NO: AAD81-04094

INTEREXAMINER RELIABILITY OF THE RISE COMPUTERIZED CHECKLIST: REFLEX SECTIONS

Author: TAYLOR, JOSEPH RUSSELL

Degree: ED.D.

Year: 1980

Corporate Source/Institution: THE UNIVERSITY OF ALABAMA (0004)

Source: Volume 4108A of Dissertations Abstracts International.

PAGE 3533 . 172 PAGES

...protective extension backward right, and (c) righting reactions protective extension backward left would require further assessment before an accurate indication of interexaminer reliability could be determined.

The examination of the interexaminer reliability of the 94 items in the reflex sections of...

Dialog eLink: USP10 Full fext Regress Ontions

37/3 K/5 (Item 2 from file: 2)

DIALOG(R) File 2: INSPEC

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06371173

Title: Optimum estimation in a growth curve model with a priori unknown variance components in

geodetic networks

Author(s): Kubackova, L.; Kubacek, L.

Author Affiliation: Dept. of Math. Anal., Palacky Univ., Olomouc, Czech Republic

Journal: Journal of Geodesy, vol.70, no.10, pp.599-602

Publisher: Springer-Verlag Country of Publication: Germany

Publication Date: July 1996 ISSN: 0949-7714

SICI: 0949-7714(199607)70:10L.599:OEGC:1-T

CODEN: JOGEF8

Language: English Subfile(s): A (Physics)

INSPEC Update Issue: 1996-037

Copyright: 1996, FIZ Karlsruhe

Abstract: ...of the measurement is the same in all epochs. The ratio of the characteristics of accuracy is a priori unknown. The aim is to determine an estimator of the parameters of functions modelling changes of the coordinates, confidence regions of... ... of accuracy are a priori unknown, the problem of their estimation has to be solved simultaneously. The research of rules of recent crustal movements leads to studying the mentioned model

Identifiers: growth curve model; geodetic networks; optimum estimation; a- priori unknown; Earth crust; crustal movements; first order parameter; second order parameter; regression model

Dialog eLink: Order File History

37/3,K/18 (Item 7 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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0007307836

WPI Acc no: 1995-369537/199548

Functional process control method - involves forecasting result of process from past results and selecting correction that is to be provided for processing

Patent Assignee: NIPPON STEEL CORP (YAWA)

Inventor: MIHIRO K

Patent Family (1 patents. 1 countries)										
Patent Nun	nber Kind	Date	Application	Number	Kind	Date	Update	Type		
JP 7244503	A	19950919	JP 19943553	9	Α	19940307	199548	В		

Priority Applications (no., kind, date); JP 199435539 A 19940307

	Pat	ent C	etail	3		
Patent Number	Kind	Lan	Pgs	Draw	Filing	Notes
JP 7244503	Α	JA	4	3		

...involves forecasting result of process from past results and selecting correction that is to be provided for processing Alerting Abstract ...forecasts the result of a process, and then makes a change in timing, to the current set point. The forecasting operation is based on the previous control results. The controller evaluates the previous results and selects the amount of correction that is to be provided for

V. Additional Resources Searched

The effects of forecast availability and forecast accuracy on market earnings expectations

by Walther, Beverly Rayburn, Ph.D., The University of Chicago, 1996, 77 pages; AAT 9618499

My dissertation investigates the effects of forecast availability and forecast accuracy on investors' formation of earnings expectations. Prior research has found that analyst forecasts are in general more accurate, but that market expectations resemble forecasts from a mechanical model. The tests on which this conclusion is based have assumed that both analyst and time-series model forecasts are readily available, and that investors consistently use either one or the other forecast to form earnings expectations. I relax both assumptions in my research.

I examine two possible strategies of forming earnings expectations. First, I predict that investors use the analyst forecast is costlessly available; my proxy for costless availability is publication in The Wall Street Journal. My results support the view that investors use the generally more accurate analyst forecast as expected earnings when the analyst forecast is costlessly available. These findings are also consistent with firms for which The Wall Street Journal publishes an analyst forecast having characteristics which always make the analyst forecast more likely to be used as expected earnings. My analysis suggests that high institutional ownership, and not the publication of analyst forecasts, induce market earnings expectations to resemble analyst forecasts.

The second strategy I examine is whether individuals vary their strategies of forming earnings expectations across firms. I predict that investors use the ex-ante more accurate forecast as expected earnings. To identify the ex-ante more accurate forecast, I examine a perfect-foresight strategy and a historical prediction strategy. While if find no empirical support for the historical prediction strategy, the results using a perfect foresight strategy are consistent with my prediction. These findings suggest that individuals may vary the forecast used as expected earnings based on accuracy.

Overall, my findings are inconsistent with the conclusion that investors consistently use seasonal random walk forecasts as expected earnings. Rather, it appears that investors use analyst forecasts as expected earnings when these forecasts are more readily available to the marginal investor. Finally, I find some weak support for investors' using a non-constant decision strategy based on forecast accuracy.